

**Know Your Client (KYC)**  
**Application Form (For Individuals Only)**



Please fill the form in ENGLISH and in BLOCK letters

Fields marked \* are mandatory

Fields marked \* are pertaining to CKYC and mandatory only if processing CKYC also

Application Number: \_\_\_\_\_

Application Type: Without Supporting KYC Modification

**KYC Mode\*:** Please Tick (✓)

Normal     EKYC OTP     EKYC Biometric     Online KYC     Offline EKYC     Digilocker

**1. Identity Details (please refer guidelines overleaf)**

PAN\* \_\_\_\_\_ Trading Code: \_\_\_\_\_ DP Id: \_\_\_\_\_ Client Id: \_\_\_\_\_

Name (same as ID proof) \_\_\_\_\_

Fathers/Spouse's Name \_\_\_\_\_

Marital Status     Single     Married

**2. Contact Details (in CAPITAL)**

Email ID \_\_\_\_\_ Mobile No. \_\_\_\_\_

Tel (Off) \_\_\_\_\_ Tel (Res) \_\_\_\_\_

One mobile number or Email id is not allowed in multiple accounts.

If exist kindly tick on relation: ( ) Spouse / ( ) Dependent child / ( ) Dependent parents.

**3. Applicant Declaration**

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

DATE: \_\_\_\_\_ (DD-MM-YYYY)

PLACE: \_\_\_\_\_

Applicant Wet Signature

**4. For Office Use Only**

Intermediary Details (Name and Stamp)\*

**MONEYWISE FINVEST LTD. / SMC GLOBAL SECURITIES LTD.**

Institution Name and Stamp

## FINANCIAL & ADDITIONAL DETAILS UPDATION FORM

<b>Applicant Name</b> (same as ID proof)			
<b>Father's/Spouse' Name</b>			
<b>Mother's Name*</b>			
<b>Financial Details</b>	Income Range (Per Annum) as on date ____/____/20____		
Gross Annual Income Details (Please Specify)	<input type="checkbox"/> Below Rs 1 Lakh	<input type="checkbox"/> Rs 1 - 5 Lakh	<input type="checkbox"/> Rs 5 - 10 Lakh
	<input type="checkbox"/> Rs 10 - 25 Lakh	<input type="checkbox"/> Above Rs 25 Lakh	
	#Provide document in support of financial details (mandatory for Derivatives)		
Net worth (should not be older than 1 year) (Mandatory for Non-individual)	Rs. _____ as on date ____/____/20____		
<b>Occupation</b> (In case of Individual)	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Central Government
	<input type="checkbox"/> State Government	<input type="checkbox"/> Business*	<input type="checkbox"/> Professional
	<input type="checkbox"/> Agriculturalist	<input type="checkbox"/> Retired	<input type="checkbox"/> House Wife
	<input type="checkbox"/> Student	<input type="checkbox"/> NGO	<input type="checkbox"/> Others _____
*If business is selected, then provide nature of business _____			
<b>Politically Exposed (Please tick)</b>			
<input type="checkbox"/> Not Politically Exposed Person (PEP) / Not Related to Politically Exposed Person (PEP)			
<input type="checkbox"/> Politically Exposed Person (PEP) / Related to a Politically Exposed Person (PEP)			
<b>FATCA Declaration</b>			
Are you resident outside India for Tax Purpose <input type="checkbox"/> No <input type="checkbox"/> Yes (provide additional information) Country of Birth _____			
City of Birth _____ (specify)			
If you are resident outside India for Tax Purpose, provide following additional information:			
Country of Tax residence _____			
Tax Identification Number (TIN) _____ (attach TIN proof)			
#Documents that can be submitted in support of financial details (any one):		<b>For OFFICE use</b>	
(i) Copy of ITR		In-Person Verification (IPV) conducted	
(ii) Copy of Annual Accounts / Balance Sheet and P&L		by: Details of Moneywise	
(iii) In case of salary income - Salary Slip or Copy of Form 16		Employee/AP:	
(iv) Net worth certificate		_____	
(v) Copy of latest demat account holding statement with value		Name: _____	
(vi) Bank account statement for last 6 months		Signature: _____ Date _____	

<b>Applicant SIGN</b>
<div style="border: 1px solid black; width: 100%; height: 100%;"></div>
Date: DD / MM / YYYY